

MATH 239 — LECTURE 30

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30.1 More on Binary Strings

Let's return to our problem we outlined last lecture:

How many binary strings are there of length n with no 3 consecutive ones?

To better tackle this problem, we create a definition.

Definition — substring

We say b is a **substring** of a if $\exists c, d$ such that $a = cbd$.

From this definition, we can restructure our question as such:

How many strings of length n have no 111 substring?

If we let D be the binary strings with no 111 substring, our goal is to find $\Phi_D(x)$.

30.1.1 Solving our Problem

Let B be the set of all binary strings. We ask ourselves, what is an unambiguous expression for B ?

- The trivial solution is $\{0, 1\}^*$ (here, we decompose after every 0 or 1)
- Another approach is to only decompose after every 0. We'll stick with this one

Under this decomposition, one piece can look like:

0, 10, 110, 1110, ...

In other words, some number of 1s followed by 0. A more compact way to write this piece is $P = \{1\}^*\{0\}$. However, we can have *any* number of pieces:

$$B = (\varepsilon \cup P \cup P^2 \cup P^3 \cup \dots)\{1\}^* = P^*\{1\}^* = (\{1\}^*\{0\})^*\{1\}^*$$

(Here, we concatenated $\{1\}^*$ at the end to ensure we have matched to every binary string). We state that B is unambiguous. Why? Because it came from a decomposition rule that *uniquely* decomposes every string. Now for D , what is the equivalent expression? Under our decomposition, what can one piece in D look like?

- Beginning/middle piece: 0, 10, 110
- End piece: $\varepsilon, 1, 11$

For D , our expression is: $D = (\{0, 10, 110\})^*\{\varepsilon, 1, 11\}$ and this is unambiguous, since it is just a restriction of an unambiguous expression for B .

Finishing Off

So,

$$\begin{aligned}\Phi_D(x) &= \Phi_{\{0,10,110\}^*} \cdot \Phi_{\{\varepsilon,1,11\}}(x) && \text{(By Product Lemma)} \\ &= \frac{1}{1 - \Phi_{\{0,10,110\}^*}} \cdot (1 + x + x^2) && \text{(By our Star Lemma)} \\ &= \frac{1 + x + x^2}{1 - (x + x^2 + x^3)} && \square\end{aligned}$$

From here, we can solve for the number of binary strings of length n by solving $[x^n]\Phi_D(x)$.

30.1.2 Other Decomposition Rules

- **Decompose after every 1** (i.e., symmetric version to decomposing after every 0): $B = (\{0\}^*\{1\})^*\{0\}^*$ is useful for solving problems regarding the numbers of 0s in a string

We have a more general decomposition rule. Before we state it, let's create a definition:

Definition — Block

A **block** of a binary string is a maximal substring all having the same digit (i.e., all 0s or all 1s). For example, in 00111011, our blocks are: 00, 111, 0, 11.

- **Block Decomposition Rule:**

Decompose after every block of 0s:

- beginning piece: $\{0\}^*$
- middle piece: $\{1\}^*\{1\}\{0\}^*\{0\}$
- end piece: $\{1\}^*$

Then, $B = \text{beginning} \cdot (\text{middle})^* \cdot \text{end} = \{0\}^*(\{1\}^*\{1\}\{0\}^*\{0\})^*\{1\}^*$

30.1.3 Problems from Course Notes

2.7.1. Find the generating series for binary strings with no block of exactly length 2.

Solution

To solve this, let us restrict the block rule to the condition: “no block of length exactly two”.

- Beginning piece: $\{\varepsilon, 0, 000, 0000, \dots\} = \varepsilon \cup \{0\} \cup \{000\}\{0\}^*$
- Middle piece: $(\{1\} \cup \{111\}\{1\}^*)(\{0\} \cup \{000\}\{0\}^*)$
- End piece: $\varepsilon \cup \{1\} \cup \{111\}\{1\}^*$

Then, our expression is:

$$(\varepsilon \cup \{0\} \cup \{000\}\{0\}^*)((\{1\} \cup \{111\}\{1\}^*)(\{0\} \cup \{000\}\{0\}^*))^*(\varepsilon \cup \{1\} \cup \{111\}\{1\}^*)$$

To find the geometric series for each piece:

$$\begin{aligned}\Phi_{begin}(x) &= 1 + x + x^3 \cdot \frac{1}{1-x} \\ \Phi_{mid}(x) &= x + x^3 \cdot \left(\frac{1}{1-x}\right) \left(x + x^3 \cdot \frac{1}{1-x}\right) \\ \Phi_{end}(x) &= 1 + x + x^3 \cdot \frac{1}{1-x}\end{aligned}$$

So our generating series is:

$$\begin{aligned}&= \left(1 + x + \frac{x^3}{1-x}\right) \left(\left(x + \frac{x^3}{1-x}\right)^2\right)^* \left(1 + x + \frac{x^3}{1-x}\right) \\ &= \left(1 + x + \frac{x^3}{1-x}\right) \left(\frac{1}{1 - \left(x + \frac{x^3}{1-x}\right)^2}\right) \left(1 + x + \frac{x^3}{1-x}\right) \\ &= \frac{1 - x^2 + x^3}{1 - 2x + x^2 - x^3}\end{aligned}$$

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